					OIVIL	J 140. 000C	-0003	. Appi	Ovai	Lybii	C3 U3	7,30/2	_
FORM BE-82(A) (Report for Consolidated (9/98)  U.S. Enterprise)  U.S. DEPARTMENT OF COMMERCE BUREAU OF ECONOMIC ANALYSIS  MANDATORY — CONFIDENTIAL  ANNUAL SURVEY OF FINANCIAL  SERVICES TRANSACTIONS BETWEEN U.S. FINANCIAL  SERVICES PROVIDERS AND  UNAFFILIATED FOREIGN PERSONS — 1998		Public reporting burden for this collection of information (consisting of Form BE-82(A) and, as appropriate, one or more Forms BE-82(B)) is estimated to vary from 4 to 150 hours per response, with an average of 7.5 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate, including suggestions for reducing this burden, to Director, Bureau of Economic Analysis (BE-1), U.S. Department of Commerce, Washington, DC 20230; and to the Office of Management and Budget, Paperwork Reduction Project 0608-0063, Washington, DC 20503.											
		BE	A USE ONLY	Control number					0	0	0		Ī
		1. 1		ADDRESS, AND D ress of the conso as necessary.						TING	ST	ATU	97
MAIL REPORTS TO	U.S. Department of Commerce Bureau of Economic Analysis BE-50(SSB) Washington, DC 20230												
OR													
DELIVER REPORTS TO	U.S. Department of Commerce Bureau of Economic Analysis BE-50(SSB) Shipping & Receiving, Room M-100 1441 L Street, NW Washington, DC 20005												

Form BE-82(A) covers all subsidiaries and parts of the consolidated U.S. enterprise that are financial services providers or intermediaries combined. If you are not the top U.S. parent corporation in the consolidated enterprise, you may need to contact your top parent corporation to ensure that the information provided is for all reportable units of the enterprise combined.

- **A. Due date** A completed BE-82, consisting of Form BE-82(A) and, as appropriate, one or more Forms BE-82(B), is due March 31, 1999.
- **B. What to file** All persons who receive copies of this survey must complete Part I of Form BE-82(A). All persons subject to the mandatory reporting requirement must also complete Part II of Form BE-82(A), and Parts I and II and the mandatory section(s) of the appropriate schedule(s) of Form BE-82(B). Persons who are exempt from the mandatory reporting requirement but who elect to report data voluntarily are asked to also complete Part II of Form BE-82(A), and Parts I and II and the voluntary section(s) of the appropriate schedule(s) of Form BE-82(B). Recipients of this form that are exempt from mandatory reporting and do not wish to report data voluntarily must complete Part I of Form BE-82(A) and the Exemption Claim. In order to facilitate survey processing, respondents should assemble together and file in a single packet Form BE-82(A) and all Forms BE-82(B) for their enterprise.
- **C. Assistance** For assistance or additional copies of the forms, telephone (202) 606–5588 between 8:00 a.m. and 4:00 p.m., eastern time.
- D. Number of copies A single original copy of the survey (including Form BE-82(A) and, as appropriate, one or more Forms BE-82(B)) must be filed with BEA. Please use the copy with the address label if such a labeled copy has been provided. Companies that elect to file separate reports for their separately organized financial services subsidiaries or parts must file a separate original copy of Form BE-82(B) for each separate subsidiary or part. In addition, each U.S. Reporter must retain a copy of each report to facilitate resolution of problems.
- **E. Estimates** If actual figures are not available, report estimates and label them as such. When data items cannot be fully subdivided as required, report totals and an estimated breakdown of the totals.

PERSON TO CONSULT CONCERNING QUESTIONS ABOUT THIS FORM BE-82(A) REPORT — Enter name and telephone number.				<b>CERTIFICATION</b> — The undersigned official certifies that this report has been prepared in accordance with the applicable instructions, is complete, and is substantially accurate except that, in accordance with VII.G of the <b>Instructions</b> , estimates have been provided where data are not available from customary accounting records or precise data could not be obtained without undue burden.				
Name				Authorized official's signature				
TELEPHONE NUMBER	ONE		Extension	Print or type name and title	Date			

## NAME, ADDRESS, AND DETERMINATION OF REPORTING STATUS — Continued Part I 2. Determination of reporting status A U.S. person that is a financial services provider (or whose consolidated enterprise includes a subsidiary or part that is a financial services provider) may be required to report. If transactions (either sales or purchases) with unaffiliated foreign persons in all types of covered financial services combined (see V. of the Instructions) by all financial services providers within a consolidated U.S. enterprise exceed \$5 million during the U.S. person's fiscal year, a BE-82 report (consisting of a Form BE-82(A) and one or more Forms BE-82(B)) **must** be filed. The \$5 million threshold applies separately to sales and purchases, and the mandatory reporting requirement may apply only to sales, only to purchases, or to both sales and purchases. In determining reporting status, the estimates of sales and purchases may be judgmental, that is, not based on a search of accounting records but instead on the recollection of knowledgeable persons within the company who are in a position to know whether the company engaged in any significant transactions in financial services during the year and, if so, the approximate total value of such transactions. If transactions (either sales or purchases) with unaffiliated foreign persons in all types of financial services combined by all financial services providers within an enterprise are \$5 million or less during the fiscal year, the U.S. person may voluntarily report the estimated totals for each type of service, not distributed by individual foreign country, on line 20 of Schedule A and/or B of Form BE-82(B), as appropriate. (However, if information on the foreign countries involved in the transactions is readily available, the totals and a disaggregation by country may instead be reported in the mandatory section.) Because the \$5 million threshold applies separately to sales and purchases, this voluntary reporting option may apply only to sales, only to purchases, or to both sales and purchases. For purposes of this survey, a separate Form BE-82(B) may be filed for each separately organized financial services subsidiary or part of a consolidated U.S. enterprise, or a single Form BE-82(B) may be filed, representing the sum of covered transactions by all financial services subsidiaries or parts of the enterprise combined. In either case, the determination of reporting status below should be based on the fully consolidated U.S. enterprise, including the top parent and all of its subsidiaries and parts. Year Annual report for fiscal year ending -9 9 Mark (X) one: 10001 The consolidated U.S. enterprise (including the top parent and all of its subsidiaries and parts, whether reporting together or separately) is required to report data in the mandatory section of at least one Form BE-82(B). Proceed to item 3 of this Part. <sup>1</sup>2 ☐ The consolidated U.S. enterprise (including the top parent and all of its financial subsidiaries and parts, whether reporting together or separately) is not required to report data in the mandatory sections of Form BE-82(B) but elects to report data voluntarily. 3 ☐ The consolidated U.S. enterprise is not reporting data in either the mandatory or voluntary section of Form BE-82(B). — Complete the Exemption Claim on page 3; SKIP item 3 of this Part. 3. Employer Identification Number (EIN) of the consolidated U.S. enterprise. Primary EIN -10002 Additional EIN (if available) — 10003

BEA USE ONLY
Page 2
FORM BE-82(A) (9/98)

20001

Complete Part II only if you checked box 1 or 2 in Part I, item 2. List below the name(s) of the financial services providers within the consolidated U.S. enterprise that are reporting separately on Form BE-82(B). If you are filing only a single Form BE-82(B) covering all financial services providers of the consolidated U.S. enterprise combined, only one entry should appear below. Continue listing onto as many additional copied pages as necessary.						
BEA USE ONLY	Name(s) of U.S. Reporters filing separate Form(s) BE-82(B) —					
10004	1.					
10005	2.					
10006	3.					
10007	4.					
10008	5.					
10009	6.					
10010 1	7.					
10011	8.					
10012 1	9.					
10013	10.					
EXEMPTION CLAIM  Complete this Exemption Claim only if you marked (X) box 3 in Part 1, item 2, of this form.  Neither the consolidated U.S. enterprise nor any of its subsidiaries or parts is reporting any data on any Form BE-82(B) because the consolidated enterprise:  Mark (X) appropriate box.  10014						
NOTE If you marked (X) a box in this	Exemption Claim, your enterprise should not complete any Forms BE-82(B).					

LIST OF ALL FINANCIAL SERVICES PROVIDERS REPORTING SEPARATELY

Part II

FORM BE-82(A) (9/98) Page 3